

# Driver Response to Control Management in Highly Automated Driving

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Introduction

## Background

The deployment of highly automated vehicles capable of operating in multiple driving modes and levels of automation (SAE Level 4 dual-mode vehicles) represent a paradigm shift for human-machine interaction (HMI) in driving automation. Due to the automation's enhanced abilities, it may be designed to dynamically reallocate control, authority, and responsibility [1] in order to preserve safety envelopes [2].

We refer to this advanced system property as **Control Management** in automated driving.

Control Management may result in highly authoritative automation behaviors such as **Automation Gatekeeping** (the automation withholding control from the driver [3]) and **Automation Seeking** (the automation overtaking control from the driver). But what do drivers think about a system capable of these kinds of behaviors? Are they willing to interact with such a system, or do they reject these kinds of system behaviors completely? How do we communicate Control Management through the vehicle's controls and the broader HMI design? We begin our exploration with a hypothesis-generating survey to inform future simulator studies.

## Human-Machine Situation Awareness

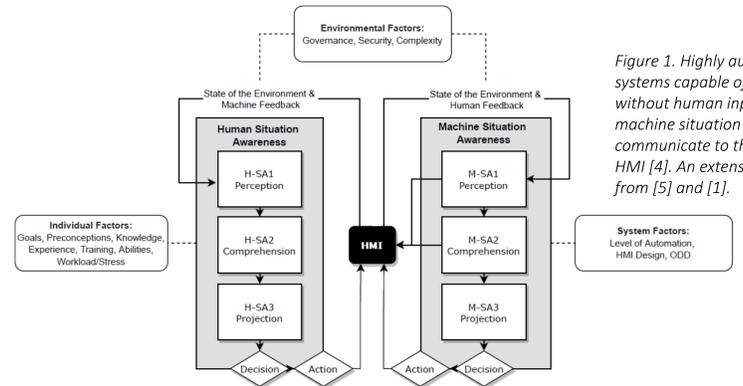


Figure 1. Highly automated driving systems capable of operating without human input possess machine situation awareness and communicate to the human via the HMI [4]. An extension of concepts from [5] and [1].

Survey (N = 579)

## Research Questions & Method

The survey was distributed online in Q3 of 2021 to all Robert Bosch GmbH associates based in Abstatt, Germany. A majority of the respondents were native Germans (85%), male (84%), experienced with driving automation (72%) and over the age of 30 (86%). The following research questions were addressed:

- Q1: How do drivers respond to highly authoritative automated driving behaviors?
- Q2: What effect does situation awareness and/or automation experience have on drivers' response?
- Q3: Do drivers wish to have an automated driving system capable of highly authoritative behaviors in their car?



Figure 2. Survey structure. Respondents were introduced to a highly automated driving system called "Otto" and split into A/B groups. Group B experienced a simulated situation awareness deficit in the guided driving scenarios via the withholding of information which would have explained the behavior of the automation.

## Driving Scenarios



**Scenario 1: The Quick Exit**  
Avoiding a blind spot collision (Automation Gatekeeping)



**Scenario 3: Urban Governance**  
Compliance with local driving law (Automation Gatekeeping)



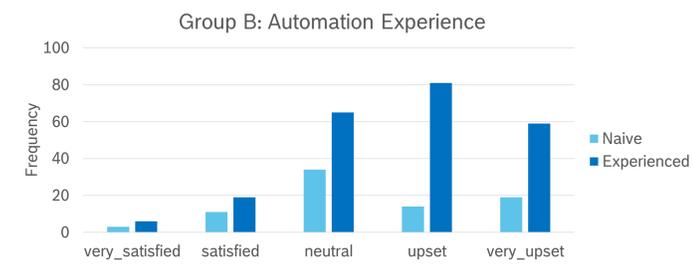
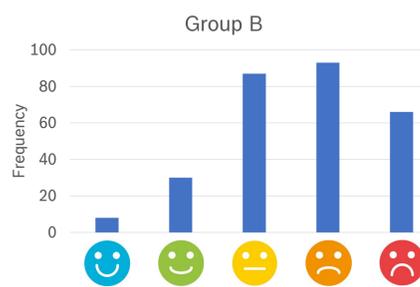
**Scenario 2: A Tiring Journey**  
Protecting a drowsy driver (Automation Seeking)



**Scenario 4: Automatic ODD Activation**  
Comfort feature (Automation Seeking)

## Driver Response, Scenario 3

### "How did Otto's behavior make you feel?"



Situation awareness had a significant effect on respondents' emotional valence in Scenario 3. Group B, who experienced a deficit in situation awareness, was more upset with Otto's behavior  $\chi^2(4, N = 579) = 225.57, p < .01$ .

Automation experience had a significant effect on Group B respondents' emotional valence in Scenario 3. Those in Group B with automation experience were more upset with Otto's behavior ( $p < .01$ , two-sided).

Key Results

- Automation Gatekeeping:** Blocking driver input is more upsetting to drivers than taking control away from them, especially when they have a deficit in situation awareness ( $p < .01$ ).
- Automation Experience:** Prior automation experience does not necessarily prime drivers for authoritative automation; it may even make drivers more critical of the automation ( $p = .01$ ).
- Comprehension of Role:** Automation experience did not impact drivers' comprehension of their role in interacting with Otto (90% correctly understood).
- Desire for Otto:** Despite some drivers being significantly upset by Otto's behavior, most drivers still would like to have a system like Otto in their future car (67% want Otto in their future car).
- HMI Design:** Drivers repeatedly stated that they would like feedback about the present control allocation via the driving controls.



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